

Sector \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION SERIAL NO. .... 08/940,686  
FILING DATE ..... September 30, 1997  
INVENTORSHIP ..... Ritzdorf et al.  
GROUP ART UNIT ..... Unknown  
EXAMINER ..... Unknown  
ATTORNEY'S DOCKET NO. .... SE10-0123  
TITLE: Semiconductor Plating System Workpiece Support Having Workpiece-Engaging Electrode  
With Pre-Conditioned Contact Face

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

(Missing Parts)

To: BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

From: Wells, St. John, Roberts,  
Gregory & Matkin P.S.  
601 W. First Avenue, Suite 1300  
Spokane, WA 99201-3817  
Telephone: (509) 624-4276  
Fax: (509) 838-3424

Enclosed are:

1. PTO Return Postcard Receipt
2. A check
3. Transmittal Letter with Certificate of Mailing
4. Copy of Notice to File Missing Parts
5. Executed Declaration
6. Information Disclosure Statement, including PTO Form 1449 and cited references
7. Assignment of the Invention to Semitool, Inc., and Recordation Cover Sheet
8. Combined Power of Attorney

[ ] Small Entity Status is claimed. [X] Large Entity Status Applies.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 23-0925 in connection with: any patent application processing fees under 37 CFR 1.17; and any filing fees under 37 CFR 1.16 for the presentation of extra claims.

Date: 30 April 1998

Respectfully submitted,

By: Randy A. Gregory  
Title: Attorney/Agent for Applicant  
Randy A. Gregory  
Reg. No.: 30,386

CERTIFICATE OF MAILING

I hereby certify the items listed above as enclosed are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on the below-indicated date.

Dated: May 1, 1998

Signature: Karen S. Brasefield  
Name: Karen S. Brasefield

CALCULATION OF TOTAL FEES DUE						
CLAIMS FEES	Number Filed (Col. 1)	No. Extra (Col. 2)	Small Entity		Large Entity	
			Rate (\$)	Fee (\$)	Rate (\$)	Fee (\$)
Basic Fee				395		790
Total Claims	18 - 20 =	0	x 11 =		x 22 =	0
Indep. Claims	5 - 3 =	2	x 41 =		x 82 =	164
<input type="checkbox"/> Multiple dependent claim presented *If the difference in Col. 1 is less than zero, enter "0" in Col. 2			x 135 =		x 270 =	
TOTAL APPLICATION FEES						954
An extension of a shortened statutory time for response under 37 CFR 1.136(a) is requested as indicated below or as necessary to maintain the pendency of this application						
Extension Fees		1 Month	\$ 55		\$ 110	110
		2 Months	200		400	
		3 Months	475		950	
		4 Months	755		1,510	
		5 Months	1,030		2,060	
Late Filing Surcharge			\$ 65		\$ 130	130
Any Other Fees:						
<input checked="" type="checkbox"/> Assignment Recording Fee (Recordation Form Cover Sheet included)						40
TOTAL FEES SUBMITTED						1,234

05/04/98  
15588 U.S. PTO



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/940.684 09/30/97 RIT710RF

5070-1123

0362/0211

WELLS ST JOHN ROBERTS GREGORY & MALKIN  
601 W FIRST AVENUE STE 1300  
SPOKANE WA 99201-3817

NOT ASSIGNED

1104

DATE MAILED:

02/11/98

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130.00 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 1,084.00

☒ 1. The statutory basic filing fee is:

- ☒ missing.
- ☐ insufficient.

Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ 164.00, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.
- ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

05/12/1998 KARLING 00000034 08940686

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

01 FC:105  
02 FC:101  
03 FC:102  
04 FC:115  
A \$ 164.00 fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the response.

*Amella Rodriguez*  
Customer Service Center  
Patent Examination Division (703) 308-1202